

A&M Strategy Portfolio Ltd. Class A

Subscription Instructions

Basic Subscription Documents

Subscriptions to invest in the A&M Strategy Portfolio Ltd. Class A (“the Fund”) may be made only by means of the completion, execution and delivery of the following basic subscription documents (collectively, the “Subscription Documents”):

- (1) Subscription Agreement: Sign one copy.
- (2) Subscriber Information Form: Please complete all requested information, date and sign.
- (3) Corporate resolutions, etc.: Subscribers which are corporations must submit certified corporate resolutions authorizing the subscription and identifying the corporate officer empowered to sign the subscription documents. Partnerships must submit a certified copy of the partnership certificate (in the case of a limited partnership) or partnership agreement identifying the general partners. Trusts must submit a copy of the trust agreement. (Entities may be requested to furnish other or additional documentation evidencing the authority to invest in the Fund.)
- (4) Anti-Money Laundering: In order to ensure compliance to current Anti-Money Laundering and Know Your Client regulations, Subscribers will be asked to provide certain documents. An example list is attached.

Acceptance of Subscriptions

The acceptance of subscriptions is within the sole discretion of the Fund’s applicable administrative personnel (the “Administrator”), which may require additional information prior to making a determination. The Administrator will notify the Subscriber of its acceptance or rejection of the subscription within ninety (90) days following its receipt of duly executed copies of the Subscription Documents in good order. If the subscription is rejected, the Fund will promptly refund (without interest and less wire fee) to the Subscriber any subscription payments received by the Fund prior to such rejection.

Subscription Payments; Closing Date

All subscription payments must be made by wire transfer as follows:

Correspondent Bank:

To: The Bank of New York Mellon
NY 10286N, New York, USA
SWIFT: IRVTUS3N

Account Holder: Volksbank Vorarlberg GmbH
A-6830 Rankweil, Austria
SWIFT: VOVBAT2B

For further credit:

To: Volksbank AG
FL-9494 Schaan, Liechtenstein
Account Holder: A&M Strategy Portfolio Ltd.
Account Number: 10.503746_0
IBAN: LI2408812105037460000
BIC/ SWIFT: VOAGLI22

Ref: (insert Registered Name for Shareholder or
Applicant's Name as on the Application Form)

Unless otherwise agreed to by the Administrator, subscriptions must be paid in full, in readily available funds, at least 7 (seven) calendar days prior to the applicable closing date.

Subscription Instructions

All subscription must be faxed or emailed and couriered as follows:

A&M Strategy Portfolio Ltd.
c/o Folio Administrators Limited
Folio House
James Walter Francis Drive
Road Town
Tortola
British Virgin Islands
Tel: +1 (284) 494 7065
Fax: +1 (284) 494 8356
Email: investorservices@folioadmin.com

Unless otherwise agreed to by the Administrator, subscriptions must be received at least 7 (seven) calendar days prior to the applicable closing date.

A&M Strategy Portfolio Ltd. Class A

Subscription Agreement

A&M Strategy Portfolio Ltd. Class A

Dear Sirs:

By signing below, the undersigned (the “Subscriber”) hereby agrees to and verifies all of the representations, warranties, affirmative and negative covenants, and terms and conditions of this Subscription Agreement (this “Agreement”).

1. Subscription Commitment

- A. **Application.** The Subscriber agrees to purchase an interest in the Fund in the amount set forth in the Subscriber Information Form.
- B. **Payment.** The total amount due for such purchase shall be payable in full in readily available funds by wire transfer to the account of the Fund at least 7 (seven) calendar days prior to the applicable closing date on which the Subscriber is being admitted to the Fund (the “Closing Date”).
- C. **Acceptance.** The Subscriber understands that this subscription is not binding on the Fund until it is accepted on behalf of the Fund by the Administrator, which reserves the right to reject this subscription in its absolute discretion prior to the Closing Date. If this subscription is not accepted, the Fund will return to the Subscriber, less wire fee and without interest, any payment tendered by the Subscriber, and the Fund and the Subscriber shall have no further obligation to each other hereunder. Unless and until rejected by the Administrator within ninety (90) days following its receipt of duly executed copies of the Subscription Documents in good order, this subscription shall be irrevocable by the Subscriber.

2. Representations and Warranties

To induce the Fund to accept this subscription, the Subscriber hereby makes the following representations and warranties:

- A. **Accuracy of Information.** The information set forth in this Agreement and in the other Subscription Documents (which shall be considered an integral part of this Subscription Agreement) is accurate and complete as of the date hereof.
- B. **Purchase for the Subscriber’s Account.** The Subscriber is subscribing to invest in the Fund solely for the Subscriber’s own account and does not have any

contract, undertaking or arrangement with any person to sell, transfer or grant a participation with respect to any interest in the Fund which may be acquired by the Subscriber.

- C. **Reliance on Information.** In formulating a decision to invest in the Fund, the Subscriber will not rely or act on the basis of any representations or other information purported to be given by or on behalf of the Fund except as set forth in the Fund's final, definitive Private Placement Memorandum (it being understood that such Memorandum supersedes all preliminary versions thereof). The Subscriber has been given the opportunity to ask questions of designated Fund personnel concerning this investment. The Subscriber understands that no other person has been authorized by the Fund to make any representations or furnish any other information concerning the Fund.
- D. **Suitability.** The Subscriber (or an advisor not affiliated with the Investment Manager of the Fund upon whom the Subscriber has relied in reaching a decision to subscribe) has such knowledge and experience in investment, financial, business and tax matters as to enable the Subscriber (or such advisor) to evaluate the merits and risks of an investment in the Fund. The Subscriber is capable of bearing the economic risk of the Subscriber's desired investment in the Fund, including a total loss of the Subscriber's investment in the Fund.
- E. **Professional Investor.** The Subscriber (if a natural person, either individually or jointly with the Subscriber's spouse) has a net worth in excess of one million dollars in United States currency or its equivalent in any other currency, and by signing below the Subscriber consents to being treated as a Professional Investor as that term is defined under the Securities and Investment Business Act, 2010 of the British Virgin Islands, as amended. The Subscriber also acknowledges by signing below that they have read and understood the Investment Warning on page ii of the Private Placement Memorandum.
- F. **No Registration.** The Subscriber understands that (a) the interests in the Fund have not been (and will not be) registered under the U.S. Securities Act of 1933, as amended (the "Securities Act"); (b) the Fund has not registered (and will not register) under the U.S. Investment Company Act of 1940, as amended (the "Investment Company Act"); (c) registration under such laws or any other securities laws is not contemplated by the Fund; and (d) no transfer of any interest in the Fund may be made without the consent of Fund personnel having the proper authority to provide such consent.
- G. **FATCA.** The Subscriber authorizes the Fund to provide such information as is deemed necessary on the Subscriber to the BVI International Tax Authority or any such authority so designated under FATCA (or any similar legislation, either implemented or yet to be implemented, in any jurisdiction which may impact the Fund or to which the Fund voluntarily agrees to be subject).

- H. **Legal Requirements.** All legal requirements necessary or appropriate in connection with the Subscriber's investment in the Fund have been complied with, and each person signing this Agreement has full legal authority, capacity and power to do so.
- I. **Limited Redemption Rights.** The Subscriber recognizes that the right of owners of shares in the Fund to require the Fund to repurchase or redeem their shares in the Fund is limited and that there currently is no market for shares in the Fund and no such market is expected to develop. Accordingly, the Subscriber may only be able to dispose of the Subscriber's interest in the Fund under limited circumstances (if at all). The Subscriber understands that it may be compelled to withdraw from the Fund against its wishes.
- J. **Risks.** The Subscriber (a) will review the Private Placement Memorandum, including in particular the section entitled "Risk Factors"; (b) understands the risks of investing in hedge funds generally, and the risks of investing in the Fund in particular; and (c) acknowledges that the Fund is a suitable investment for the Subscriber and that the investment objectives and strategy of the Fund are consistent with the investment objectives of the Subscriber.
- K. **Authority of Natural Person.** If the Subscriber is a natural person, the Subscriber has the legal capacity to execute and deliver this Subscription Agreement and the Subscription Information Form and to perform all of the Subscriber's obligations hereunder and thereunder.
- L. **Authority of Legal Entity.** If the Subscriber is a corporation, partnership, trust or other entity: (a) it is authorized and qualified to become a shareholder in, and authorized to make its purchase of an interest in the Fund, and otherwise to comply with its obligations under this Subscription Agreement and the Subscription Information Form; (b) the person signing this Subscription Agreement on behalf of such entity has been duly authorized by such entity to do so; and (c) this Subscription Agreement has been duly executed and delivered on behalf of the Subscriber and is the valid and binding agreement of the Subscriber, enforceable against the Subscriber in accordance with its terms. Upon the request of the Administrator, the Subscriber will deliver any documents that may be requested by the Administrator, including an opinion of counsel to the Subscriber, to evidence or confirm the existence of the Subscriber, the legality of an investment in the Fund and the authority of the person executing this Subscription Agreement on behalf of the Subscriber.
- M. **Compliance with Anti-Money Laundering and Anti-Terrorist Legislation.** Neither the Subscriber nor any beneficial owner thereof is a person, government, country or entity: (1) that is listed in the Annex to, or is otherwise subject to the provisions of, United States Executive Order 13224, as issued on September 23, 2001 and as subsequently supplemented ("EO 13224") (which list is published at <http://www.treasury.gov/terrorism.html>); (2) whose name appears on the most current U.S. Office of Foreign Assets Control ("OFAC") list of "Specially

Designated Nationals and Blocked Persons” (which list is published on the OFAC website, <http://www.treas.gov/ofac>); (3) who commits, threatens to commit or supports “terrorism”, as that term is defined in EO 13224; (4) that is a foreign bank that does not have a physical presence in any country (a “Foreign Shell Bank”), other than a Foreign Shell Bank that (i) is an affiliate of a depository institution, credit union, or foreign bank that maintains a physical presence in the United States or a foreign country, as applicable, and (ii) is subject to supervision by a banking authority in the country regulating such affiliated depository institution, credit union or foreign bank; or (5) who is otherwise affiliated with any person, government, country or entity listed above. No funds used by the Subscriber to invest in the Fund were, directly or indirectly, derived from activities that may contravene U.S. federal and/or state laws and regulations, including anti-money laundering laws, or that may contravene the anti-money laundering laws of any other jurisdiction.

- N. **Politically Exposed Persons.** If the Subscriber is a senior official in the executive, legislative, administrative, military or judicial branches of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior official of a foreign government-owned corporation (a “Senior Foreign Political Figure”), including any corporation, business or other entity that has been formed by, or for the benefit of a Senior Foreign Political Figure, he/ she has indicated below:

YES

- O. **Required Redemption and Suspension of Right to Redeem.** The Subscriber acknowledges that, in certain circumstances, compliance with applicable anti-money laundering legislation, regulations and orders may require involuntary redemption of the Subscriber’s interest in the Fund or the suspension of the Subscriber’s right to redeem such interest in the Fund.
- P. **Release of Subscriber Information to Certain Authorities.** The Subscriber acknowledges that, in compliance with applicable anti-money laundering laws, regulations and orders, the Fund, or its related parties, may be required to release or share information provided by the Subscriber or about the Subscriber to relevant regulatory or police authorities.
- Q. **Selling Agents.** The Fund or its related parties may from time to time enter into agreements with one or more selling agents, including related parties, pursuant to which a selling agent will act as a selling agent for shares in the Fund. As compensation for a selling agent’s services, the Fund or its related parties may pay a selling agent a portion of the Management Fee and Incentive Fee payable to the Investment Manager with respect to investors introduced to the Fund by such selling agent. The fees paid by a particular Fund shareholder introduced through a selling agent will not be increased as a result of the any such arrangements with

selling agents (although the Fund may offer certain other classes or series of shares subject to lower fees).

- R. **Accuracy of Information.** The Subscriber represents that all information contained in this Subscription Agreement and the accompany Subscription Information Form or otherwise provided by the Subscriber to the Investment Manager, either orally or in written form, is accurate.
- S. **Side Agreements.** The Subscriber acknowledges that the Fund and its related parties may enter into side agreements with specific investors in the Fund providing for different fees, redemption rights, access to information about the Fund's investments, or other matters relating to an investment in the Fund.

3. Further Agreements

- A. **No Transfers.** The Subscriber will not sell or otherwise transfer the Subscriber's shares in the Fund (or any participation or beneficial interest therein) without the prior written consent of the Administrator (which may be withheld in the sole discretion of the Administrator). Any attempted transfer without such consent shall be null and void.
- B. **Change in Information.** The Subscriber will promptly notify the Administrator if at any time any information contained in any of the Subscription Documents ceases to be accurate or complete. In addition, at the request of the Administrator, the Subscriber will furnish promptly such further information, and execute and deliver such documents, as may be required or appropriate in the determination of the Administrator to comply with any laws, regulations or other obligations of the Fund. The Subscriber consents to the disclosure of any such information, and any other information furnished to the Fund, to any governmental authority, self-regulatory organization or other third party to the extent required by law or determined by the Administrator to be in the best interests of the Fund.

4. Indemnification

The Subscriber acknowledges that the Fund will rely upon the representations, warranties and agreements set forth herein, each of which shall survive the acceptance of the subscription made hereby. The Subscriber agrees to indemnify and advance expenses to the Fund, the Investment Manager and the Administrator (including for this purpose any of their shareholders, members, agents, officers, directors, agents, representatives and employees, or affiliates, and each person who controls any of them), and to hold them harmless from and against, any and all loss, damage, liability and expense, including reasonable attorneys' fees and disbursements, which the Fund, the Investment Manager or the Administrator may incur by reason of or in connection with the breach or inaccuracy of any representation or warranty made herein or in any of the other Subscription Documents or any failure of the Subscriber to fulfill any of the agreements set forth in or arising under this Subscription Agreement or any of the other Subscription Documents. In addition, none of the Investment Manager, the Administrator or any of their affiliates, shall be liable to the Subscriber for any loss or damage occasioned by any of

their acts or omissions in the performance of their services or behalf of the Fund so long as such acts or omissions were taken or made in good faith and in the best interest of the Fund, unless such loss or damage is due to the gross negligence, recklessness or willful misconduct of the applicable party or is otherwise required by law. Notwithstanding the foregoing, the provisions of this section shall not be construed to provide indemnification or advancement of expenses to the Investment Manager, the Administrator or any of their affiliates for any liability to the extent that such indemnification would be in violation of applicable state and federal securities laws.

5. **Power of Attorney; Notices; Payments**

- A. **Power of Attorney.** Subject only to the acceptance of this Subscription Agreement by the Investment Manager, the Subscriber makes, constitutes and appoints the Investment Manager, acting through any of its authorized officers and with power of substitution, the Subscriber's true and lawful agents and attorneys, with full power and authority in such Subscriber's name, place and stead, to make, execute, acknowledge, record and/or file (i) any of the Fund's organizational documents, (ii) any certificate or other document required to effect the formation, continuation, qualification or dissolution of the Fund in accordance with the terms any applicable laws or regulations, or which legal counsel to the Fund deems necessary or desirable to comply with any federal, state or other law, rule, regulation, or order applicable to the Fund, and (iii) any amendments to any of the foregoing adopted or otherwise made. The Power of Attorney granted hereby is a special power of attorney coupled with an interest and shall be irrevocable to the fullest extent permitted by law.
- B. **Notices.** Any notice required or permitted to be given to the Subscriber in relation to the Fund shall be sent to the address specified in Item 1 of the Subscriber Information Form or to such other address as the Subscriber may designate by written notice received by the Administrator.
- C. **Use of Electronic Communications.** The Subscriber consents to receiving all future correspondence and documents from the Fund related to the Subscriber's investment in the Fund, for such time as the Subscriber remains a shareholder of the Fund, either by email to the email address set forth in the Subscription Information Form or by facsimile at the number set forth in the Subscription Information Form. The Subscriber will notify the Fund immediately in case of any change to its email address or facsimile number. The Subscriber should not consent to receive any documents by email or facsimile if it is not in fact possible for the Subscriber to access email or receive facsimiles. The Subscriber acknowledges that certain costs and risks, such as system outages and slow downloading time, may be involved with delivery of documents by email or facsimile and the Subscriber hereby expressly accepts any such costs and risks.
- D. **Payments.** Any funds sent by mail or courier to the Subscriber's address specified in Item 1 of the Subscriber Information Form, or to such other address as the Subscriber may designate by written notice received by the Investment Manager, or any wire transfers sent to the financial institution indicated in Item 3

of the Subscriber Information Form, shall constitute payment to the Subscriber and relieve the Fund and its agents of any further obligation to the Subscriber with respect to the amounts so paid, and the Subscriber releases the Fund from any further obligation with respect thereto.

6. **Governing Law**

This Subscription Agreement shall be governed by the laws of the British Virgin Islands applicable to contracts made and entirely to be performed therein. The Subscriber agrees that any action or proceeding arising in connection with or out of this Agreement or any of the other Subscription Documents, or any breach of this Agreement or any of the other Subscription Documents, shall be resolved within the British Virgin Islands. Accordingly, by signing this Agreement, the Subscriber consents to the exclusive jurisdiction of the British Virgin Islands. The parties further agree that any such action or proceeding brought by either party to enforce any rights, assert any claim or obtain any relief whatsoever in connection with this Agreement shall be brought by the Subscriber exclusively in the courts of the British Virgin Islands, or if appropriate, before any applicable arbitral body located within the British Virgin Islands.

Very truly yours,

(Name of Subscriber)

(Subscriber's Signature)

(Title, if applicable)

(Name of Co-Subscriber, if applicable)

(Signature of Co-Subscriber, if applicable)

* * *

The foregoing subscription is accepted.

Dated: : _____, 201

A&M Strategy Portfolio Ltd.

By: _____

A&M Strategy Portfolio Ltd. Class A

Subscription Information Form

Each Subscriber to A&M Strategy Portfolio Ltd. Class A (the “Fund”) is requested to furnish the following information. Information is to be given with respect to the person or entity intended to be the registered holder of an interest in the Fund.

1. Identity of Subscriber:

Name: _____

Mailing
Address: _____

Telephone: () _____

Facsimile: () _____

E-mail: _____

Date of Birth: _____

If the Subscriber is a natural person, please furnish below the Subscriber’s residence address if different from the address indicated above:

Residence Address: _____

Subscriber consents to receiving all future correspondence and documents from the Fund related to Subscriber's investment in the Fund, for such time as Subscriber remains a shareholder of the Fund, either by email to the email address set forth above or by facsimile at the number set forth above. Subscriber will notify the Fund immediately in case of any change to its email address or facsimile number. Subscriber should not consent to receive any documents by email or facsimile if it is not in fact possible for Subscriber to access email or receive facsimiles.

Yes No

Type of Investor – Please check one:

- Individual
- Tenants in Common
- Joint Tenants
- Corporation/Limited Liability Company
- General Partnership
- Limited Partnership
- Trust
- Foundation
- Endowment

Other – Please specify: _____

Please indicate country of citizenship: _____

Please indicate country of residence: _____

Occupation: _____

Employer: _____

2. Subscription Commitment

Subscription Amount (gross): USD _____

(minimum initial subscription USD 100,000)

3. Remitting Bank or Financial Institution

All subscriptions are payable in full by wire transfer of readily available funds to the account of the Fund at least 7 (seven) calendar days prior to the applicable Closing Date. Please identify the bank or other financial institution from which the Subscriber's funds will be wired.

Name of financial institution: _____

SWIFT _____

IBAN number _____

Account name _____

Address: _____

Account Representative: _____

Telephone: () _____

Correspondent Bank _____

SWIFT _____

4. Supplemental Data for Legal Entities

If the Subscriber is a legal entity, please furnish the following supplemental data:

(i) Legal form of entity: _____

(ii) Jurisdiction of organization: _____

(iii) Year of organization: _____

(iv) Was the Subscriber organized primarily for the purpose of acquiring the shares in the Fund?

Yes No

(v) Do individual investors or participants in the Subscriber have any right to decide whether or not to participate, or the extent of their participation, in this investment?

Yes No

(vi) Is the Subscriber acting as agent, customer, nominee, trustee, partner or otherwise on behalf of, for the account of or jointly with any other person or entity?

Yes No

(vii) Does the Subscriber control, or is the Subscriber controlled by or under common control with, any other investor in the Fund?

Yes No

The Subscriber agrees to notify the Investment Manager promptly of any change with respect to the foregoing information and to provide such further information as the Investment Manager may reasonably require.

Dated: _____, 201 .

Name of Subscriber

Signature

Title (if applicable)

Name of Co-Subscriber (if applicable)

Signature of Co-Subscriber (if applicable)

A&M Strategy Portfolio Ltd. Class A

Anti-Money Laundering

SAMPLE LIST OF DOCUMENTS REQUIRED TO COMPLETE “KYC” (KNOW YOUR CUSTOMER) REQUIREMENTS

Please note, in order to ensure compliance to current AML and KYC regulations, the Administrator reserves the right to request documentation in addition to that outlined below.

1. Individual Person

- 1.1 Notarised (or certified by your bank, attorney or accountant) copy of Passport (Drivers Licence or other form of government issued identity card with photograph and signature included may be accepted);
- 1.2 Recent confirmations of address in your name (original, notarised or certified as above) – e.g. a utility bill;
- 1.3 Bank Transfer Instruction, plus a contact name and a written confirmation giving the Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the Financial Action Task Force (the “FATF”));
- 1.4 If required the Administrator reserves the right to request a Professional reference.

2. Corporate Entity (excluding Entities in Sections 3, 6, 7 & 8 below)

- 2.1 Notarised (or certified by the relevant company registrar, a bank, attorney or accountant) copy of, or original Certificate of Incorporation and any Change of Name Certificate;
- 2.2 Notarised or certified copy of the original Memorandum and Articles of Association;
- 2.3 An authorised signatory list, including all directors, individuals authorised as signatories and beneficial owners of 10% of share capital, and copies of their signatures;
- 2.4 Notarised or certified copy of personal documents as indicated in 1.1 and 1.2 for at least 2 directors and any individual authorised as signatories;
- 2.5 Notarised or certified copy of personal documents as indicated in 1.1 and 1.2 for at least for any beneficial owners holding 10% or more of the share capital (as per 1.1 and 1.2);
- 2.6 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF);
- 2.7 Minutes, resolutions or declaration confirming the power to invest;
- 2.8 Certificate of Good Standing from relevant company registrar, or equivalent document, may be required; and

2.9 If other entities own more than 25% each of the investing entity, the information as per 2.1 to 2.4 must be given for these entities.

3. *Corporate Entities Listed on A Recognised Exchange*

- 3.1 The original or notarised copy of the Certificate of Incorporation or the Certificate to Trade (copies may alternatively be certified by the relevant company registrar);
- 3.2 A properly authorised mandate of the Directors to open/operate an account or establish the business relationship;
- 3.3 A list of authorised signatories; and
- 3.4 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF).
- 3.5 Proof of Registered and Business office address

4. *Partnerships or Other Unincorporated Businesses*

- 4.1 An authorised signatory list, including all directors, individuals authorised as signatories and beneficial owners of 10% of share capital, and copies of their signatures;
- 4.2 Notorised or certified copy of personal documents as indicated in 1.1 and 1.2 for at least 2 directors/partners and any individual authorised as signatories;
- 4.3 Notorised or certified copy of personal documents as indicated in 1.1 and 1.2 on the beneficial owners;
- 4.4 Copy of partnership agreement (if any), or other agreement establishing the unincorporated business;
- 4.5 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF);
- 4.6 Minutes, resolutions or declaration confirming the power to invest;
- 4.7 Certificate of Good Standing from relevant company registrar, or equivalent document, may be required; and
- 4.8 If other corporate entities own more than 25% each of the investing entity, the information as per 2.1 to 2.4 must be given for these entities.

5. *Trusts*

- 5.1 Notarised or certified copy of the original Trust Deeds;
- 5.2 Notarised or certified copy of the original Letter of Wishes;
- 5.3 List of trustees, beneficiaries and authorised signatories, plus copies of the signatures;
- 5.4 Notorised or certified copy of personal documents as indicated in 1.1 and 1.2 for the Trustees, or anyone authorised to sign for this application;
- 5.5 Notorised or certified copy of personal documents as indicated in 1.1 and 1.2 for the beneficial owners;
- 5.6 Details of settlor of the Trust;
- 5.7 Minutes, resolutions or declaration confirming the power to invest;

- 5.10 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF).

6. *Financial Institutions and Intermediaries*

- 6.1 If investing as a principal, but not deemed a “Designated Body”, then the entity must supply the same information as requested for a Corporate Entity (see 2 above). If investing on a nominee basis, but not deemed a “Designated Body”, the administrator will deal with each case on an individual basis, to satisfy its obligations.
- 6.2 A “Designated Body” (“DB”) means, in the context of this Subscription Application, a financial institution that is regulated by an appropriate regulator in an acceptable jurisdiction and meets certain regulatory standards regarding Anti Money Laundering procedures, in accordance with FATF laws and regulations.

7. *Designated Body acting as principal*

- 7.1 Confirmation that the DB is a “Designated Body”, to include confirmation of membership or association with appropriate regulatory body;
- 7.2 Contact name and details at regulatory body, or web address to confirm regulatory status;
- 7.3 Confirmation that the DB is investing and is allowed to invest as principal for its own account;
- 7.4 Authorised signatories list, plus copies of signatures; and
- 7.5 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF).

8. *Designated Body acting as Nominee*

- 8.1 Same information as requested under 6.1 and 6.2 above;
- 8.2 Written confirmation that the DB complies with appropriate anti-money laundering regulations with regard to verifying identity and residence of investor(s);
- 8.3 Details of anti-money laundering regulations that DB complies with;
- 8.4 Undertaking that DB will provide its anti-money laundering due diligence files to Folio on demand;
- 8.5 Authorised signatories list, plus copies of signatures; and
- 8.6 Bank Transfer Instruction, plus a contact name and a written confirmation giving The Administrator authority to request a reference (as per application form), if required (note that this will be required for all transfers from Banks or Financial Institutions not approved by the FATF).